

END920030016

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re application of:**

Sanjay Gupta

**Group Art Unit:** Unassigned

**Serial No.:** Unassigned

**Examiner:** Unassigned

**Filed:** Concurrently herewith

**For :** METHOD AND APPARATUS FOR REDUCING INDEX SIZES AND INCREASING  
PERFORMANCE OF NON-RELATIONAL DATABASES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Sir:

Under provisions of 37 C.F.R. §1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicants respectfully bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in charge of the above-identified application. A copy of each listed document is provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the cited references are relevant or material to the claims nor should it be construed as a representation that no other art than that identified exists. They are merely cited as constituting related art of which the applicant is aware.

It is respectfully requested that these documents be considered by the Examiner and formally made of record in this application.

Respectfully submitted,



Andrew M. Calderon  
Reg. No.: 38,093

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FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. END920030016	SERIAL NO. Unassigned
	APPLICANT: Sanjay Gupta	
	FILING DATE: Concurrently Herewith	GROUP: Unassigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		5,764,973	06-09-1998	Lunceford et al.			
		5,778,379	07-07-1998	Blackman et al.			
		5,778,358	07-07-1998	Blackman et al.			
		US2002/0013790 A1	01-31-2002	Vandersluis			
		US2001/0047372 A1	11-29-2001	Gorelik et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		9-114856	05-02-1997	Japan			Abstract	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		Bharat Bhasker, et al., "The Architecture of a Heterogeneous Distributed Database Management System: The Distributed Access View Integrated Database (DAVID)", ACM 089791-472-1/92/0002/0173, 1992, pp. 173-180

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.